sufficient postage as first class mail.in a

Jésse Pierce

the date shown below:

Typed or printed hazne

Signature

Ø 01

## NOV 2 2 2004

PTO/S8/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademerk Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Resistion Act of 1995, no persons are required to respond to a collection of information unless if displays a valid CMS control number. redinuk noitspilggA 10/611.794 Filing Date TRANSMITTAL 6/30/03 First Named Inventor **FORM** ; Plance Art Unit 3/12 Examiner Name :Lavno, B. H. (to be used for all correspondence after initial filing) Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to 1C Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC 200 Petition Amendment/Reply (Appnal Notice, Brief, Roply Brief) Petition to Convert to a " Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Lerminal Discleimer Extension of Time Request below); Request for Refund Express Abandonment Request CD. Number of CD(s) Information Disclosure Statement Landscape Table on CD **Certified Copy of Priority** Remarks PTO/SBZI REPLY Spages 1710/3 132 AFFLOAVIT 7 pages IDAGE Document(s) Reply to Missing Parts/ incomplete Application Reply to Missing Parts IPAGE PHOTO under 37 CFR 1.52 or 1.53 I PAGE GRAPH OF PLACEMENTS SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT him Name Signature 2.0 Printed name Jesse Pierce Dare Reg. No 1.22.04 CERTIFICATE OF TRANSMISSION/MAILING

This collabor of information is required by 37 CFR 1.5. The alformation is required to obtain or retain a benefit by the public which is to life rand by the USPTO to process) an application contidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 endt.14. This collection is estimated to 2 bours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the including cathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the including cathering to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer. U.S. Patent land. Trademark Office. U.S. Department of Commerce, P.O. Box 1450. Alexandrin, VA 22213-1450. DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with

BYFAY TO

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

Date

1.22.04

po uddressed to. Commissioner for Patents, F.O. Box 1450. Alexandria, VA 22313-1450 on BY FAY T (703) 87 2 ~ 9 3 0 6

Patent Docket JP 1

#### In the United States Patent and Trademark Office

Application of:

Jesse Pierce)

Examiner: Layno, B.

Application Number 10/611,794 )

Art Unit: 3712

Filed on: 6/30/2003

Entitled: Reel Slot Machine and Rotator

Commissioner For Patents and Trademarks

PO Box 1450

Alexandria, VA 22313-1450

Certificate of fax transmission

I hereby certify that this reply to the final action is sent by fax to the United States Patent and Trademark Office at (703) **872-9306.** 

Dear Sir:

### Reply after Final Action

In reply to the final official action mailed on October 18, 2004 with response due January 18, 2005, Applicant submits this reply including a 132 affidavit.

The Examiner asserts that the 1X, 2X, 3X, etc. seems to indicate that multiplying factors for the payout provides a bonus. The fact is that the software used by Applicant is common to a number of games that use symbols other than the multiplying factors and those games payout in multiples without specifically disclosing that on the lined up reels. Thus, the reels line up and the multiplied payout happens according to the software in a regular slot machine when a win occurs as shown on the pay table. Regular slot machines have multiplied payout and Applicant has used that in a new, surprising and unexpected way. While the rotator does operate like a reel, as the Examiner notes, it does not operate as a bonus it only looks like it is a bonus. Applicant's rotator could have symbols and still appear to be a bonus game. Applicant has introduced an illusion as

enhanced by the placement of a rotator apart from the others that the Applicant seeks to protect.

The Examiner says that Applicants reels and symbols are akin to the underlying game of the bonus slot machines and that the rotator is like the bonus award. Applicant believes that the Examiner's position unequivocally proves that the Applicants illusion is a success. A bonus game by definition is one that happens when the symbols line up to grant entry into another and different game that almost always gives a bonus that is apart from the payout of the main game. The bonus can be, among other things, additional play or as noted by the Examiner a multiple of the winnings during bonus play. What ever is won it is separate from the underlying game.

It is not when the rotator stops or the construction of the top box that Applicant seeks a patent for it is the separation of a one game slot machine into what appears to be two separate games but is in actuality not two but is only one game without a qualifying main game or a separate bonus game. In the Baerlocker, '863 patent column 1, lines 30 to 45 its operation is described:

"A bonus award display includes a bonus selector which selects and displays a bonus award prior to all of said main payout indicia being selected and displayed. A control system provides the bonus award if one of one or more preselected displays of main payout indicia is displayed on the main payout display. Thus, a possible bonus award is selected and displayed before the main payout display completes its display and the player knows whether or not the bonus award will be received.

The main payout display could be reels, simulated reels, simulated cards, or some other form of gaming device. In an illustrative embodiment, the main payout display is in the form of five simulated reels. The bonus award display is a bonus wheel and a bonus selector which is a mechanical, movable pointer.

One embodiment of a gaming machine of the present invention has three or more main payout reels with indicia displayed on the outer periphery of the reels. It includes a bonus award reel with a plurality of bonus indicia displayed substantially transverse to the axis of the reel. A controller causes the bonus award reel to spin coincidentally with one or more main

payout reels and stops the bonus award reel prior to stopping all of the main payout reels. The bonus award is provided to the player if one of one or more preselected displays of indicia is displayed on the main payout reels."

Applicant's claimed apparatus and has no bonus game as described in '863 on the contrary the bonus indicator therein indicates perhaps a bonus award whereas Applicant's rotator operates just as a regular one game slot machine giving a payout if the rotator indicates that the player is so entitled. Again in column 1, lines 57 through 64 of '863 it discloses:

"A controller causes the bonus award reel to spin coincidentally with one or more main payout reels and stops the bonus award reel prior to stopping all of the main payout reels. The bonus award is provided to the player if one of one or more preselected displays of indicia is displayed on the main payout reels.

In accordance with the present invention, a method of gaming is provided which includes activating a game machine, providing a main payout display of a plurality of main payout indicia with symbols, providing a bonus display of a bonus selector, selecting and displaying a bonus award, completing the main payout display and awarding the bonus if one of one or more preselected displays of main payout indicia are displayed on the main payout display."

Thus '863 requires that the possible preselected bonus be shown and then won Applicant has no bonus preselection on the reels and Applicant's illusion of a bonus is in fact just the conclusion of a regular slot game. Two step process of '863 having qualification (activation of the preselection) and then the win as established by the (bonus selected and displayed). In Applicant's game the rotator spins every time because it is controlled by the software as if it where another reel so there is entry to the illusory bonus every time even though winning does not happen every time. Thus there may be no bonus award. Specifically, Applicant's controller has no preselected displays showing activation for a bonus award. In Applicant's game there is only one award or payout. In '863 the specific disclosure describes a bonus award display but that requires the activation and then the win:

"Referring to FIG. 5, the gaming machine includes main payout display 38 and bonus award display 40. These displays could be real (reels, wheels,

pointers, etc.) or simulated (CRT's, LCD's, etc.) or some combination of real and simulated displays."

Consequently two games are required the main and the bonus whereas Applicant has only one game and one paytable. Applicant's claimed apparatus and method has only one game that unconditionally allows play every time of on a rotator located apart from the reels thus suggesting yet another chance to win although in fact Applicant's game is spun once and can only be won once.

The software programs used in conversions were in slot games wherein there was no separate rotator providing an illusory bonus, i. e. anticipatory feature. Specifically, the software for a three reel game called, "Home Run" program #SS3370 was changed so the symbols on the 3rd reel became 1X, 2X, 3X, 4X under the pointer. The Home Run symbols were converted and one joker became 1X, Double Joker became 2X, Triple Joker became 3X, 4X, etc. A game originally called, "Jackpot Devil" and it had symbols of Little Devils Became a blank, Angels became 1X and Double Joker Faces became 2X on the 4th reel. Single Bar (1B) to Seven of Spades, Double Bar(2B) to a Seven of Hearts, Triple Bar (3B) to a Seven of Clubs and Seven (7) to the Seven of Diamonds. These software programs were used in slot games wherein there was no separate rotator providing an illusory bonus. Applicant was the first to convert the game to suggest a bonus game, give the illusion of a bonus game and an anticipatory feature.

The Examiner's position and rejections do not surprise Applicant as it was at first difficult to explain that there is no bonus game in Applicant's modified slot machine when it was demonstrated to the gaming regulators responsible for approving the game and to the casino managers charged with responsibility for purchasing and placing slot machines. In each instance those people. Each with many years of experience, fail to recognize and were unable to comprehend the difference in Applicant's claimed apparatus and method. The resulting advantage of a non bonus game with split or separate located reals and rotator provides enhanced anticipation from what is an existing software driven game by the merely converting at least one reel to a separately placed rotator. The

illusion of two games results from the seriatim play of the first reel, the second reel, etc and finally the rotator; in fact, the rotator is just the continuation of the original game enhanced by the illusion of play located in the top box wherein bonus games traditionally appear. The advantage of spinning the rotator every time enhances the players' interest in the game and the excitement of the illusory bonus.

Applicant submits the attached 132 affidavit to address the question of unobviousness. The Applicant's invention has evidence of commercial success, copying and the overall perception that this is the same as a convention bonus game even though those games require winning entry to spin the bonus reel. Since that is not required in this claimed apparatus and method the illusion remains and the advantages now being realized and copied should receive patent protection.

Applicant is prosecuting this application and would appreciate any guidance the Examiner can provide on how to advance this application to allowance.

By the Applicant, respectfully submitted,

Jesse Pierce

7979 Lone Jogger Drive Las Vegas, NV 89113 Telephone (702) 876-9981

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:	
☐ BLACK BORDERS	
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES	
☐ FADED TEXT OR DRAWING	
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING	
☐ SKEWED/SLANTED IMAGES	
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS	
☐ GRAY SCALE DOCUMENTS	
☐ LINES OR MARKS ON ORIGINAL DOCUMENT	
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY	

## IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.